



Docket No.: 3519.002.00  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Thomas R. Goodwin, et al.

Customer No.: 30827

Application No.: 09/928,109

Confirmation No.: 6279

Filed: August 10, 2001

Art Unit: 3624

For: SYSTEM AND METHOD FOR TRADING  
AND ORIGINATING FINANCIAL  
PRODUCTS USING A COMPUTER  
NETWORK

Examiner: Alain L. Bashore

MS Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
NOV 8 2004  
**GROUP 3600**

**AMENDMENT**

Sir:

In response to the Office Action dated June 30, 2004, please amend the patent application identified above as follows:

**INTRODUCTORY COMMENTS**

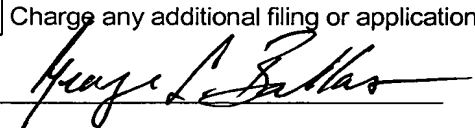
**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 7 of this paper.

PTO  
NOV 01 2004  
JC98

41

3624  
#

<b>AMENDMENT TRANSMITTAL LETTER</b>			Docket No. 3519.002.00		
Application No. 09/928,109	Filing Date August 10, 2001	Examiner Alain L. Bashore	Art Unit 3624		
Applicant(s): Thomas R. Goodwin et al.					
Invention: SYSTEM AND METHOD FOR TRADING AND ORIGINATING FINANCIAL PRODUCTS USING A COMPUTER NETWORK					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
<b>Total Claims</b>	19	- 20 =	0	x 18.00	0.00
<b>Independent Claims</b>	3	- 3 =	0	x 86.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension of Time (one month)					55.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					0.00
<input type="checkbox"/> Large Entity <span style="margin-left: 300px;"><input checked="" type="checkbox"/> Small Entity</span>					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-0911</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 George G. Ballas Attorney Reg. No.: 52,587			Dated: <u>November 1, 2004</u>		
MCKENNA LONG & ALDRIDGE LLP 1900 K Street, N.W. Washington, DC 20006 (202) 496-7500					

**RECEIVED**

NOV 8 2004

**GROUP 3600**